

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-103
 Name of Fund: CRF-PUC Spcl Fnd Allocation-Consumer Advocacy
 Legal Authority: 269-33

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-303-R, S-334-R, S-335-R,
S-07-332-R

Intended Purpose: To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expense:

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling*	2,473,837	2,592,100	2,592,100	3,003,793	2,903,793	2,903,793	2,903,793
Beginning Cash Balance	2,765,749	3,101,511	3,768,911	4,026,615	2,478,953	2,149,729	1,820,080
Beginning Encumbrances	1,028,742	787,990	990,078	1,151,755			
Revenues	2,569,928	2,673,523	2,763,810	3,159,425	3,149,425	3,149,000	3,149,000
Expenditures	1,917,804	1,703,699	2,000,224	4,155,548	2,903,793	2,903,793	2,903,793
Transfers							
List each by JV# and date:							
JS0880 8/22/06			(126,470)				
JS1912 10/12/06			(126,470)				
JS3315 1/17/07			(126,470)				
JS4884 4/18/07			(126,472)				
Net Total Transfers	(316,362)	(302,424)	(505,882)	(551,539)	(574,856)	(574,856)	(574,856)
Amount from Bond Proceeds							
Ending Cash Balance	3,101,511	3,768,911	4,026,615	2,478,953	2,149,729	1,820,080	1,490,431
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

* Includes specific appropriations: Act 68, SLH 2007, and Act 183, SLH 2007

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: 26-9

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-306-R

Intended Purpose: To fund the operations of the Business Registration Division.

Source of Revenues:

Chapter 482 - Business registration fees: Corporation, partnership, limited liability company registration fees and trademark, trade name and service mark filing fees.

Chapter 482E - Franchise filing fees.

Chapter 485 - Securities fees and penalties: Securities, broker-dealer, salesperson, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, partnerships, limited liability companies, trademarks, trade names and service marks. Help the public in their business registration filings through business centers that offer assistance in facilitating, coordinating and expediting filings. Review and approve filing applications under the Uniform Securities Act and oversee and enforce substantive regulatory compliance with State securities and franchise filing laws.

Purpose of Proposed Ceiling Increase (if applicable):

Add funds of \$65,000 for the Economic Cadre program.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,477,813	5,918,382	6,064,425	6,440,207	6,505,207	6,440,207	6,440,207
Beginning Cash Balance	9,843,376	6,867,741	7,243,972	6,820,842	6,332,395	6,616,860	6,966,325
Beginning Encumbrances	0	587,284	436,101	387,274			
Revenues	6,652,299	6,111,001	5,860,371	7,583,000	8,099,000	8,099,000	8,099,000
Expenditures	4,457,451	5,016,513	5,082,031	6,827,481	6,505,207	6,440,207	6,440,207
Transfers							
List each by JV# and date:							
JS0880 8/22/06			(300,367)				
JS1912 10/12/06			(300,367)				
JS3315 1/17/07			(300,367)				
JS4884 4/18/07			(300,369)				
Net Total Transfers	(5,170,483)	(718,257)	(1,201,470)	(1,243,966)	(1,309,328)	(1,309,328)	(1,309,328)
Amount from Bond Proceeds							
Ending Cash Balance	6,867,741	7,243,972	6,820,842	6,332,395	6,616,860	6,966,325	7,315,790
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: 26-9(o)

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-310-R, S-398-R

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general supportive services.

Source of Revenues:

Administrative fee from MCCP claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

see attached.

Purpose of Proposed Ceiling Increase (if applicable):

Increase expenditure ceiling by: \$20,000 to cover language access costs, and \$178,850 to cover building maintenance for the King Kalakaua Building and the 8th and 9th floors of the State Office Tower and electricity costs.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,820,442	4,872,168	4,972,168	5,516,080	5,714,830	5,714,830	5,714,830
Beginning Cash Balance	2,522,505	2,326,521	1,171,077	1,723,848	1,736,634	2,096,334	2,456,334
Beginning Encumbrances				454,759			
Revenues	73,748	327,030	432,459	359,700	359,700	360,000	360,000
Expenditures	3,994,359	4,277,016	4,697,224	5,970,839	5,714,830	5,714,830	5,714,830
Transfers							
List each by JV# and date:							
JS0880 8/22/06			879,072				
JS1912 10/12/06			1,543,042				
JS3315 1/17/07			1,211,057				
JS4884 4/18/07			1,211,065				
JS4145 3/6/07			(36,000)				
JS6350 6/29/07			9,300				
Net Total Transfers	3,724,627	2,794,542	4,817,536	5,623,925	5,714,830	5,714,830	5,714,830
Amount from Bond Proceeds							
Ending Cash Balance	2,326,521	1,171,077	1,723,848	1,736,634	2,096,334	2,456,334	2,816,334
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

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Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: 26-9(o)

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-312-R

Intended Purpose:

Complaints receipt, mediation, arbitration, investigation, and prosecution of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Complaints receipt, mediation, investigation, complaint resolution and prosecution related to licenses, registrations or certificates required from the Department or its boards, commissions or programs.

Purpose of Proposed Ceiling Increase (if applicable):

Authorize two temporary investigator positions and add funds of \$128,643.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,197,764	4,975,448	4,975,448	5,253,047	5,381,690	5,381,690	5,381,690
Beginning Cash Balance	6,192,218	7,306,911	6,976,709	7,298,743	5,387,537	4,523,592	2,659,647
Beginning Encumbrances	62,702	135,856	210,774	177,498			
Revenues	5,791,527	4,476,522	6,003,844	4,533,444	5,600,000	4,600,000	5,650,000
Expenditures	3,688,181	4,201,876	4,670,046	5,430,545	5,381,690	5,381,690	5,381,690
Transfers							
List each by JV# and date:			(252,941)				
JS0880 8/22/06			(252,941)				
JS1912 10/12/06			(252,941)				
JS3315 1/17/07			(252,941)				
JS4884 4/18/07							
Net Total Transfers	(988,653)	(604,848)	(1,011,764)	(1,014,105)	(1,082,255)	(1,082,255)	(1,082,255)
Amount from Bond Proceeds							
Ending Cash Balance	7,306,911	6,976,709	7,298,743	5,387,537	4,523,592	2,659,647	1,845,702
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: 412:2-109 and 26-9(o)

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-320-R

Intended Purpose:

To allow the Commissioner to appoint financial institutions examiners to examine the affairs of financial institutions, to appoint any necessary administrative support personnel, and to pay for any expenses incurred during examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories and money transmitters.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, and complaint handling and is also responsible for the licensing and supervision of escrow depositories and money transmitters.

Purpose of Proposed Ceiling Increase (if applicable):

Authorize 2.00 FTE exempt financial institution examiners, 2.00 FTE exempt money transmitter examiners, and funds of \$379,643 for personal services, other current expense and equipment, to enable DFI to fully implement the money transmitters licensing program without negatively affecting DFI's ability to fulfill its primary objective of ensuring the safety and soundness of state-chartered and licensed financial institutions.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,153,836	2,443,258	2,443,258	2,578,281	2,957,924	2,957,924	2,957,924
Beginning Cash Balance	3,950,422	3,969,835	3,878,652	4,301,347	3,592,418	2,612,932	1,643,606
Beginning Encumbrances	12,612	95,652	34,708	38,011			
Revenues	2,175,645	2,180,744	2,798,163	2,421,050	2,588,440	2,598,600	2,598,000
Expenditures	1,735,981	1,969,503	1,869,586	2,616,292	2,957,924	2,957,924	2,957,924
Transfers							
List each by JV# and date:							
JS0880 8/22/06			(126,470)				
JS1912 10/12/06			(126,470)				
JS3315 1/17/07			(126,470)				
JS4884 4/18/07			(126,472)				
Net Total Transfers	(420,251)	(302,424)	(505,882)	(513,687)	(610,002)	(610,002)	(610,002)
Amount from Bond Proceeds							
Ending Cash Balance	3,969,835	3,878,652	4,301,347	3,592,418	2,612,932	1,643,606	673,680
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority: 487-2, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces consumer protection laws through legal process coordinated by its attorneys.

Purpose of Proposed Ceiling Increase (if applicable):

Authorize 1.00 FTE exempt investigator position and add funds of \$64,321.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,348,447	1,487,471	1,487,471	1,600,284	1,664,605	1,664,605	1,664,605
Beginning Cash Balance	1,166,570	481,629	506,851	556,549	197,420	154,377	111,334
Beginning Encumbrances	7,630	18,684	0	16,086			
Revenues	272,518	489,106	131,483	317,000	317,000	317,000	317,000
Expenditures	1,116,132	1,260,627	1,244,899	1,616,370	1,664,605	1,664,605	1,664,605
Transfers							
List each by JV# and date:							
JS0880 8/22/06			290,778				
JS1912 10/12/06			290,778				
JS3315 1/17/07			290,778				
JS4884 4/18/07			290,780				
Net Total Transfers	158,673	796,743	1,163,114	940,241	1,304,562	1,304,562	1,304,562
Amount from Bond Proceeds							
Ending Cash Balance	481,629	506,851	556,549	197,420	154,377	111,334	68,291
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: HRS 481I

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						0	0
Beginning Cash Balance	134,279	134,547	134,571	141,384	140,426	140,426	140,426
Beginning Encumbrances				958			
Revenues	12,225	14,665	12,900				
Expenditures	11,957	14,641	6,087	958	0	0	0
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	134,547	134,571	141,384	140,426	140,426	140,426	140,426
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: 487:14(c), HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgment.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,681	50,681	50,681	50,681	50,681	50,681	50,681
Beginning Cash Balance	108,721	147,121	108,241	116,580	3,899	(46,782)	(97,463)
Beginning Encumbrances							
Revenues	42,400	8,100	9,263	38,000			
Expenditures	4,000	46,980	924	150,681	50,681	50,681	50,681
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	147,121	108,241	116,580	3,899	(46,782)	(97,463)	(148,144)
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: 440G

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-302-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable tv operators, which are collected from cable tv subscribers.

Current Program Activities/Allowable Expenses:

- Issues and enforces franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.
- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008*	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,891,438	1,208,738	2,208,738	1,232,334	1,232,334	1,232,334	1,232,334
Beginning Cash Balance	2,692,588	3,262,744	4,600,445	2,482,717	944,088	1,091,868	1,239,648
Beginning Encumbrances	210,761	216,036	301,359	406,342			
Revenues	1,490,942	2,134,884	185,577	335,000	1,625,000	1,625,000	1,675,000
Expenditures	612,453	645,971	1,860,658	1,638,676	1,232,334	1,232,334	1,232,334
Transfers							
List each by JV# and date:							
JS0880 8/22/06			(110,661)				
JS1912 10/12/06			(110,661)				
JS3315 1/17/07			(110,661)				
JS4884 4/18/07			(110,664)				
Net Total Transfers	(308,333)	(151,212)	(442,647)	(234,953)	(244,886)	(244,886)	(244,886)
Amount from Bond Proceeds							
Ending Cash Balance	3,262,744	4,600,445	2,482,717	944,088	1,091,868	1,239,648	1,437,428
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

* Act 213, SLH 2007 appropriated \$3,632,334 which includes \$2,400,000 for moneys held outside the state treasury by TWE for FY08. As such, the appropriation ceiling reflected on this report does not account for the \$2,400,000 held by TWE.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): Not applicable
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority: Decision & Order Nos. 154 & 261, Section 5.1

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF): Not applicable
 Appropriation Acct. No.: Not applicable

Intended Purpose:

Source of Revenues:

Franchise fees paid by Oceanic Time Warner Cable to the DCCA, which are collected from their subscribers.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET")

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007*	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,400,000		0	0
Beginning Cash Balance	210,779	703,568	1,437,489	2,498,751	1,098,751	2,098,751	3,098,751
Beginning Encumbrances							
Revenues	492,789	733,921	1,061,262	1,000,000	1,000,000	1,000,000	1,000,000
Expenditures				2,400,000			
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	703,568	1,437,489	2,498,751	1,098,751	2,098,751	3,098,751	4,098,751
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

* reported balance as of 1/31/07 was \$2,498,751.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: 26-9

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-305-R, S-325-R

Intended Purpose: To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:
 Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 45 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas verbally and in writing, assist with or adopt or amend rules, propose and react to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning problematic license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling*	4,162,712	4,949,871	4,949,871	5,461,120	5,278,120	5,278,120	5,278,120
Beginning Cash Balance	5,375,782	6,147,376	6,625,215	7,040,978	5,471,313	4,367,919	3,491,025
Beginning Encumbrances	222,642	149,287	155,996	90,289			
Revenues	5,547,876	5,505,513	5,776,860	5,026,500	5,238,500	5,465,000	5,765,000
Expenditures	3,853,549	4,422,826	4,349,333	5,551,409	5,278,120	5,278,120	5,278,120
Transfers							
List each by JV# and date:							
JS0880 8/22/06			(252,941)				
JS1912 10/12/06			(252,941)				
JS3315 1/17/07			(252,941)				
JS4884 4/18/07			(252,941)				
Net Total Transfers	(922,733)	(604,848)	(1,011,764)	(1,044,756)	(1,063,774)	(1,063,774)	(1,063,774)
Amount from Bond Proceeds							
Ending Cash Balance	6,147,376	6,625,215	7,040,978	5,471,313	4,367,919	3,491,025	2,914,131
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

* Includes Act 279, SLH 2007 - specific appropriation for mixed martial arts from FY08

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: 467-16-19, 21-24, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fee.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	485,470	495,470	495,470	498,381	498,381	498,381	498,381
Beginning Cash Balance	376,959	703,255	777,344	838,455	388,138	(53,254)	(508,324)
Beginning Encumbrances				1,936			
Revenues	379,109	135,860	112,960	50,000	56,989	43,311	45,600
Expenditures	52,813	61,771	51,849	500,317	498,381	498,381	498,381
Transfers							
List each by JV# and date:							
Net Total Transfers							
Amount from Bond Proceeds							
Ending Cash Balance	703,255	777,344	838,455	388,138	(53,254)	(508,324)	(961,105)
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Real Estate Recovery Fund

Page 2

Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Trust Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meeting.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for Clerical position, who services the program.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: 467-11-19, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-905-R

Intended Purpose:
See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	564,819	581,971	581,971	666,325	666,325	666,325	666,325
Beginning Cash Balance	698,333	1,385,010	1,188,554	1,642,460	956,193	902,990	325,198
Beginning Encumbrances				119,508			
Revenues	1,011,107	192,641	839,298	99,566	613,122	88,533	564,210
Expenditures	324,430	389,097	385,392	785,833	666,325	666,325	666,325
Transfers							
List each by JV# and date:							
Net Total Transfers	0						
Amount from Bond Proceeds							
Ending Cash Balance	1,385,010	1,188,554	1,642,460	956,193	902,990	325,198	223,083
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and Clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries and applications, and website, providing information, advice and referral.
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference materials for public review.
- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current information on instruction, education, curriculum, testing, application fees and procedures.

- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting responsibilities, etc.
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Condominium Management Education Fund
 Legal Authority: 514A-40(c), 131, 132, 133, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-918-R

Intended Purpose:
See attached.

Source of Revenues:
Developer's final public report, association of apartment owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	486,438	486,438	556,438	644,263	644,263	644,263	644,263
Beginning Cash Balance	818,459	773,220	807,166	1,014,825	394,325	372,224	(202,752)
Beginning Encumbrances				47,720			
Revenues	453,550	177,742	552,768	71,483	622,162	69,287	622,162
Expenditures	323,789	312,680	345,109	691,983	644,263	644,263	644,263
Transfers							
List each by JV# and date:							
Net Total Transfers	(175,000)	168,884				0	0
Amount from Bond Proceeds							
Ending Cash Balance	773,220	807,166	1,014,825	394,325	372,224	(202,752)	(224,853)
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Condominium Management Education Fund
Page 2

Intended Purpose:

As stated in §514A-131, HRS, the Condominium Management Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expeditious and inexpensive procedures for resolving condominium association disputes."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and Clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys, educators, government officials and others via telephone, office walk-ins, seminars, speakerships written inquiries and applications and the website.
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in-house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.
- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication.
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.
- Print and distribute amended Chapter 514A to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Association Reserves Guide.
- Recodification of Chapter 514A, HRS: fund an attorney position; research and develop position drafts; present, exchange, discuss, and interact with the condominium community including developers; develop and submit progress reports to the Legislature; develop and submit proposal to Governor and Legislature; work with the Legislature for passage and Governor for approval; provide for education program to the condominium community.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Condominium Management Education Fund
Page 3

Rulemaking

- Research, develop and initiate rulemaking process for Chapter 107, HAR, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the Neighborhood Justice Center and the Mediation Services of Maui.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.
- The Condominium Dispute Resolution Pilot Program, which the State Office of Administrative Hearings (OAH), Department of Commerce and Consumer Affairs will conduct over the next two years. As part of the program, OAH will hold administrative hearings for certain types of condominium disputes and issue a report to the legislature.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner-occupants reservation procedures, and public reports, including records management.
- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.
- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.
- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Condominium Management Education Fund

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Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of Condominium Management Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority: Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-919-R

Intended Purpose:
See attached.

Source of Revenues:
The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$25 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	4,805	5,155	6,806	5,205	26,205	25,205	50,205
Beginning Encumbrances							
Revenues	1,675	20,475	1,900	26,000	4,000	30,000	6,000
Expenditures	1,325	18,824	3,501	5,000	5,000	5,000	5,000
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	5,155	6,806	5,205	26,205	25,205	50,205	51,205
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Real Estate Appraisers
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

- 1 To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
- 2 To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
- 3 To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
- 4 To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
- 5 To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
- 6 To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: 431:10C-115, HRS; 431:10G-107

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-309-R

Intended Purpose: Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operations of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a driver's education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,400,000	2,758,340	2,560,000	2,560,000	2,560,000	2,560,000	2,560,000
Beginning Cash Balance	38,334	107,438	2,528	150,243	296,243	442,243	588,243
Beginning Encumbrances							
Revenues	2,469,104	2,451,113	2,704,585	2,706,000	2,706,000	2,706,000	2,706,000
Expenditures	2,400,000	2,556,023	2,556,870	2,560,000	2,560,000	2,560,000	2,560,000
Transfers							
List each by JV# and date:							
Net Total Transfers							
Amount from Bond Proceeds							
Ending Cash Balance	107,438	2,528	150,243	296,243	442,243	588,243	734,243
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund
 Legal Authority: 431:2-215 & 431:2-216, HRS; Act 1, 2005 Special Session

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-313-R, S-329-R, S-336-R

Intended Purpose:

The fund permits the Insurance Division to become self-sufficient and eliminates reliance on general funds and revolving funds administered by the Division.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and Divisions.

Purpose of Proposed Ceiling Increase (if applicable):

Add \$1,115,000 for personal services on a fee. Authorize one permanent insurance examiner position and funds of \$91,622 for market conduct.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling*	7,315,818	8,371,169	8,206,769	7,687,588	8,941,210	8,941,210	8,941,210
Beginning Cash Balance	8,152,712	6,866,098	4,639,153	5,089,669	1,114,183	322,059	(970,365)
Beginning Encumbrances	0	0	2,186,072	1,837,949			
Revenues	4,028,961	4,025,591	7,532,652	7,031,700	9,921,700	9,421,400	9,421,000
Expenditures	5,574,845	5,345,264	5,754,195	9,525,537	8,941,210	8,941,210	8,941,210
Transfers							
List each by JV# and date:							
JS1912 10/12/06			(663,970)				
JS3315 1/17/07			(331,985)				
JS4884 4/18/07			(331,986)				
Net Total Transfers	259,270	(907,272)	(1,327,941)	(1,481,649)	(1,772,614)	(1,772,614)	(1,772,614)
Amount from Bond Proceeds							
Ending Cash Balance	6,866,098	4,639,153	5,089,669	1,114,183	322,059	(970,365)	(2,263,189)
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

*includes specific appropriation (Act 227, SLH 2007).

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Loss Mitigation Grant Fund
 Legal Authority: 431:22-102, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B
 Appropriation Acct. No. S-314-R

Intended Purpose:

A grant program to assist residents with installing wind resistive devices to protect their property against wind damage. Also provides grants for safe rooms for residents who may not be able to afford reinforcement of their entire home; protection against natural disasters.

Source of Revenues:

Appropriations out of the Hawaii Hurricane Relief Fund.

Current Program Activities/Allowable Expenses:

As allowed by §431:22-102, the funds are used to make loss mitigation grants; and to pay for any administrative and operational cost, including personnel cost and marketing costs, associated with a loss mitigation grant program.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,000,000	2,000,000	2,000,000			
Beginning Cash Balance			3,978,439	3,680,098			
Beginning Encumbrances				93,417			
Revenues		4,000,000		108,860			
Expenditures		21,561	298,341	2,093,417			
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	0	3,978,439	3,680,098	1,695,541	0	0	0
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) B

Appropriation Acct. No. S-317-R

Intended Purpose:

The fund shall be used to pay the costs incurred in administering captive insurance provisions of the Insurance Code.

Source of Revenues:

Premium taxes collected from captive insurance companies, captive fees, fines and penalties, and captive reimbursements.

Current Program Activities/Allowable Expenses:

Meet with prospective captive insurance companies and review licensing applications. Monitor, regulate, and examine captive insurance companies.
 Develop and promote the captive industry.

Purpose of Proposed Ceiling Increase (if applicable):

Add funds of \$355,000 for personal services on a fee.

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	1,587,570	1,751,970	2,016,120	2,371,120	2,371,120	2,371,120
Beginning Cash Balance	1,473,477	1,813,550	2,557,472	3,617,856	3,936,655	4,141,735	4,346,815
Beginning Encumbrances			301,965	366,281			
Revenues	1,963,550	1,933,436	2,321,957	2,701,200	2,576,200	2,576,200	2,576,000
Expenditures	150,000	1,189,514	1,261,573	2,382,401	2,371,120	2,371,120	2,371,120
Transfers							
List each by JV# and date:							
Net Total Transfers	(1,473,477)						
Amount from Bond Proceeds							
Ending Cash Balance	1,813,550	2,557,472	3,617,856	3,936,655	4,141,735	4,346,815	4,551,695
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Patient's Compensation Fund
 Legal Authority: Act 232/84; Act 219, 1976

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-912-R

Intended Purpose:

In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.

Source of Revenues:

None. However, there are some paid claims with settlement agreements which required the claimant to return any unused cash after all of the payment required by the settlement agreement are satisfied.

Current Program Activities/Allowable Expenses:

The claims against the Fund exceed the available fund assets and as a result, the authority for this Fund, section 671, was repealed by Act 232, SLH 1984. The Act required the unpaid claims to be carried forward from year to year for possible payment as the Fund acquires additional assets for prorated distribution.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,664,567	2,736,992	3,839,084	4,005,290	4,180,290	0	0
Beginning Encumbrances							
Revenues	72,425	1,102,092	166,206	175,000	80,000		
Expenditures				0	4,260,290	0	0
Transfers							
List each by JV# and date:							
Net Total Transfers							
Amount from Bond Proceeds							
Ending Cash Balance	2,736,992	3,839,084	4,005,290	4,180,290	0	0	0
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: 431:2-214, HRS; Act 348, 1987 SLH

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, an insurer's automobile insurance premium comparison and the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	100,409	211,848	267,288	329,575	285,646	248,046	210,446
Beginning Encumbrances				6,329			
Revenues	201,207	142,883	162,138	162,400	162,400	162,400	162,400
Expenditures	89,768	87,443	99,851	206,329	200,000	200,000	200,000
Transfers							
List each by JV# and date:							
Net Total Transfers							
Amount from Bond Proceeds							
Ending Cash Balance	211,848	267,288	329,575	285,646	248,046	210,446	172,846
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: 481X-4, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						0	0
Beginning Cash Balance	25,000	25,000	25,000	25,000	25,000	25,000	25,000
Beginning Encumbrances							
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	25,000	25,000	25,000	25,000	25,000	25,000	25,000
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: 431:19-104, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-931-R

Intended Purpose:

The fund shall be used to deposit Letters of Credit (LOC) held in favor of the Insurance Commissioner that are drawn upon.

Source of Revenues:

Cash draw from Letter of Credits (LOC) held in favor of the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

The Commissioner may cash the LOC in such circumstances as when a captive company is in an adverse financial condition, dissolution of its business, revocation or suspension of its license. The cash from the LOC is used to cover liabilities the captive company may not pay, which may include paying claims, creditors, fees and fines.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005 (actual)	FY 2006 (actual)	FY 2007 (actual)	FY 2008 (estimated)	FY 2009 (estimated)	FY 2010 (estimated)	FY 2011 (estimated)
Appropriation Ceiling						0	0
Beginning Cash Balance	476,891	415	452	467	467	467	467
Beginning Encumbrances							
Revenues	5,608	37	15				
Expenditures	482,084			0	0	0	0
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	415	452	467	467	467	467	467
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): _____
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund maybe later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the M CCP are deposited into this account, and when an invoice is received it is paid out from this account.)

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,160,953	1,683,058	1,084,463	1,997,736	1,710,110	1,710,110	1,710,110
Beginning Encumbrances				287,626			
Revenues	1,647,077	1,466,568	1,929,614	1,500,000	1,500,000	1,500,000	1,500,000
Expenditures	1,124,972	2,065,163	1,016,341	1,787,626	1,500,000	1,500,000	1,500,000
Transfers							
List each by JV# and date:							
Net Total Transfers							
Amount from Bond Proceeds							
Ending Cash Balance	1,683,058	1,084,463	1,997,736	1,710,110	1,710,110	1,710,110	1,710,110
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Contractor's Recovery Fund
 Legal Authority: 444-26, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-908-R

Intended Purpose:

Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and \$10 upon each license renewal. At any time the fund balance falls below \$250,000 the board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Current program activities include paying consumers who have obtained court judgement against a contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	412,388	523,043	571,051	746,279	646,279	646,279	546,279
Beginning Encumbrances							
Revenues	177,001	151,262	261,605	100,000	200,000	100,000	200,000
Expenditures	66,346	103,254	86,377	200,000	200,000	200,000	200,000
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	523,043	571,051	746,279	646,279	646,279	546,279	546,279
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority: 444-29, HRS

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-909-R

Intended Purpose:

To be used for consumers, licensees, Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors recovery fund shall be deposited to the credit of the contractors education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and \$5 upon each license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and for personnel costs for overtime pay when workload results from contractor's license board activities.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008*	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,968	16,968	16,968	16,968	16,968	16,968	16,968
Beginning Cash Balance	97,875	138,595	202,524	261,128	194,160	197,192	230,224
Beginning Encumbrances							
Revenues	50,561	68,264	70,703	50,000	20,000	50,000	20,000
Expenditures	9,841	4,335	12,099	116,968	16,968	16,968	16,968
Transfers							
List each by JV# and date:							
Net Total Transfers							
Amount from Bond Proceeds							
Ending Cash Balance	138,595	202,524	261,128	194,160	197,192	230,224	233,256
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

* The estimated expenditures includes the Governor approval to increase the ceiling by \$100,000.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Recovery Fund
 Legal Authority: Chapter 468K, HRS; Act 285, SLH 1991, repealed Act 468K (see below *)

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-910-R

Intended Purpose:
See attached

Source of Revenues:

When the travel agency recovery fund program was established, each licensee made a mandatory contribution which was non-refundable: \$50 for each travel agency licensee, and \$25 for each travel agency sales representative. If at any time the money deposited in the travel agency recovery fund was insufficient to satisfy any duly authorized claim or portion thereof, the licensees could be charged an additional fee to return the travel agency recovery fund to an appropriate amount needed to satisfy any and all claims.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	22	22	22	22	22	22	22
Beginning Encumbrances							
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	22	22	22	22	22	22	22
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

*Act 285, SLH 1991, repealed Chapter 468K; however, moneys remaining in the recovery fund were held for disbursement to claimants until the fund is exhausted.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

Department: CCA
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Education Fund
 Legal Authority: Chapter 468K, HRS; Act 285, SLH 1991 released Act 268K,
 Act 41, SLH 1994 (see below *)

Contact Name: Karen Lim
 Phone: 586-2841
 Fund type (MOF) T
 Appropriation Acct. No. T-911-R

Intended Purpose:

The department shall use the amounts available in the Education Fund to alert all current licensees under chapter 468K, Hawaii Revised Statutes, to the requirements of Act 396, SLH 1991 and to inform the public about the rights and remedies provided in Act 285, SLH 1991. The fund may also be used to pay for any claim or expense of the Recovery Fund in the event the Recovery Fund becomes exhausted. Any amount that remains after payment of educational expenses or for recovery fund claims and expenses shall become part of the Compliance Resolution Fund for use in travel agency related cases.

Source of Revenues:

The travel agency education fund will generate its funds from any funds remaining in the travel agency recovery fund after all claims have been settled.

Current Program Activities/Allowable Expenses:

Funds remaining in the Travel Agency Education Fund are being held in case disbursements are needed for travel agency-related case claimants against the Travel Agency Recovery Fund. There are a few outstanding lawsuits against the Travel Agency Recovery Fund, but Gary Lee, Esq., is not anticipating any payments at this time.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2005 (actual)	FY 2006 (actual)	FY 2007 (actual)	FY 2008 (estimated)	FY 2009 (estimated)	FY 2010 (estimated)	FY 2011 (estimated)
Appropriation Ceiling	7,000	7,000	7,000	7,000	7,000	7,000	7,000
Beginning Cash Balance	21,449	21,449	21,449	21,449	14,449	7,449	449
Beginning Encumbrances							
Revenues							
Expenditures				7,000	7,000	7,000	7,000
Transfers							
List each by JV# and date:							
Net Total Transfers						0	0
Amount from Bond Proceeds							
Ending Cash Balance	21,449	21,449	21,449	14,449	7,449	449	(6,551)
Amount Req. for Bond Covenants as of 7/1/07							
Amount Held in CODs, Escrow Accounts, or Other Investments as of 7/1/07							

*Act 41, SLH 1994, authorized the education fund to pay for any claim or expense of the recovery fund until the fund is exhausted.

Report on Non-General Fund Information
for Submittal to the 2008 Legislature

DCCA Non-General Fund Information - Travel Agency Recovery Fund
Page 2

Intended Purpose:

§468K-3 Travel Agency Recovery Fund; use of funds; fees. There shall be established and maintained a Travel Agency Recovery Fund from which any person aggrieved by an act, omission of material fact, representation, transaction, or conduct of a licensee, that is in violation of this chapter or its adopted rules, or which constitutes an unfair or deceptive act or practice in violation of section 480-2, may recover, by order of a court of competent jurisdiction within the State, an amount of not more than \$8,000 for damages sustained as a result of the act, representation, transaction, or conduct of each licensee. Recovery from the fund shall be limited to the actual damages suffered by the claimant, including court costs and fees as set by law, and reasonable attorneys' fees as determined by the court.

Current Program Activities/Allowable Expenses:

Act 285, SLH 1991, limited access to the fund to claims arising from travel services purchased prior to October 1, 1991. All funds remaining in the travel agency recovery fund after the resolution of all civil proceedings commenced prior to October 1, 1993, if any, shall become part of the compliance resolution fund for use in travel agency-related cases. There are a few outstanding lawsuits against the Travel Agency Recovery Fund, but Gary Lee, Esq., is not anticipating any payments from the fund to the complainants at this time.