

**REQUEST FOR PRELIMINARY DECISION:
REAL ESTATE SALESPERSON OR BROKER**

Access this form via website at: www.hawaii.gov/hirec

STATE OF HAWAII
DEPARTMENT OF COMMERCE & CONSUMER AFFAIRS
PROFESSIONAL & VOCATIONAL LICENSING DIVISION

| | | |
|--|------------------------|-----------------------|
| Legal Name of Applicant (First, Middle): | (LAST) | For Official Use Only |
| Residence Address (Include street address, apt. no., city, state & zip code): | | |
| Mailing Address, if different from above (Include apt. no., city, state & zip code): | | |
| Type of License Preparing for (check one): | Social Security No.: | |
| _____ Salesperson | Daytime Telephone No.: | |
| _____ Broker | | |

Answer All Questions Below

| | Yes | No |
|--|-----|----|
| 1a) Have you ever applied for, been granted, or held a real estate license in Hawaii or any other state? If yes, what state, license type, and license number? _____ | | |
| b) Has an application for license or a real estate license ever been denied, suspended, fined, involuntarily terminated, revoked, or otherwise subject to disciplinary action? | | |
| c) Have any complaints or charges ever been filed against you, regardless of outcome, with the licensing agency of any state? | | |
| d) Have any charges of unlicensed activity ever been filed against you, regardless of outcome, with the licensing agency of any state? | | |
| e) Are there any pending disciplinary actions against you? | | |
| 2. During the past 20 years have you ever been convicted of a crime where there has not been an order annulling or expunging the conviction? | | |
| 3. Are there any pending lawsuits, unpaid judgments, outstanding tax obligations, or any other type of involuntary liens against you? | | |
| 4. Are you LESS than 18 years of age? | | |
| 5. Are you an alien WITHOUT authorization to work in the United States? | | |

Explain all "Yes" responses on a separate sheet with detailed information and supporting documents as described in the instructions.

READ AND SIGN THE CERTIFICATION ON THE REVERSE SIDE

Appl..... 580..... \$25
Service Charge..... BCF..... \$25

CERTIFICATION

I have read and understand §467-8, HRS. I understand that no license will be issued to any person who does not possess a reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing.

I understand that this is NOT an application for license, this is a request for a preliminary decision to provide advisory guidance only and is not binding or subject to appeal. I understand that even if a preliminary decision is unfavorable to me, I may submit a complete license application and fees following successful completion of the precensing requirements. I understand that if a preliminary decision is favorable to me, I must still successfully complete the precensing requirements before applying for a license.

I understand that it is my responsibility to read the instructions thoroughly and to file a complete application, including required documents and fees. I hereby certify that the statements and answers on this application and accompanying documents are true and correct. I understand that any false or untrue statement or material misstatement of fact shall constitute grounds for refusal or subsequent revocation of license and is a misdemeanor under Hawaii law. (Sections 710-1017, 436B-19 and 467-20, HRS).

Applicant's Signature

Date

- iii. If applicable, a copy of the terms of probation and/or parole and a statement from your probation or parole officer as to your reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing; and
 - iv. Signed statements from employers, business associates, clients, and others who can attest to your business dealings since the conviction, including a statement as to your reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing.
- 3) If you have any pending lawsuits, unpaid judgments, outstanding tax obligations, or any other type of involuntary liens against you, **submit** the following:
- i. A statement signed by you explaining the circumstances and current status, and if no payment or payment arrangement has been made, the reason;
 - ii. A resume of employment and business activities; and
 - iii. Copies of the court complaint, judgment, documentation of payment arrangements, lien documents, records of any payments, and other relevant documents.