

**APPLICATION FOR STATE REVOLVING FUND LOAN  
for the Construction of Drinking Water Facilities**

A completed application must be submitted for each proposed project. If any information requested in this application was previously submitted for a prior loan or grant project, please indicate the project number in the space provided. Provide supporting documentation or additional pages as appropriate.

**I. ORGANIZATIONAL DATA**

Applicant Name: \_\_\_\_\_

Address:

Contact person: \_\_\_\_\_

Telephone number: \_\_\_\_\_

**II. PROJECT NAME AND DESCRIPTION**

Project Name: \_\_\_\_\_

Description:

Need for Project:

**III. PROJECT COSTS**

Attach the engineer's estimate of total project costs, if it differs from the estimate submitted with the planning and design documents.

\_\_\_\_\_ Estimated Total Project Costs

Proposed method of financing:

\_\_\_\_\_ SRF Loan Requested

Amount and source of all other revenue:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**IV. PROJECT SCHEDULE DATES**

\_\_\_\_\_ Advertise for construction bids

\_\_\_\_\_ Open construction bids

\_\_\_\_\_ Negotiate A/E agreement(s)

\_\_\_\_\_ Award construction and/or A/E contracts

\_\_\_\_\_ Issue Notice to Proceed

\_\_\_\_\_ Complete construction

**V. RELATED PROJECTS**

List all related projects which must be constructed in order to fully utilize the subject project. Also, list the anticipated dates these projects will be constructed.

**VI. REQUIRED DOCUMENTS AND FORMS** Note: Documents and forms **MUST** be completed and submitted to DOH before the execution of the final agreement.

1. If completed, attach the documents listed below.
  - a. A written approval by the Board or Commission which authorizes the SRF loan application and identifies the dedicated source(s) of revenue (i.e., general obligation with full credit) which will fund the project and repayment of the loan with interest.
  - b. An opinion signed by a competent title counsel describing the interest the applicant has in the site, including information about any easements and right-of-ways, and certifying that interest is legal and valid. The opinion should also include information as to whether or not:
    - 1) The applicant (or the present owner if fee simple title has not been, or is not to be, acquired) has good and valid title to the entire site (excluding easements and right-of-ways) free and clear of any pre-existing mortgages, deeds of trust, liens, or other encumbrances which would affect the value or site usefulness for the purpose intended;
    - 2) Any deeds or documents required to be recorded have been duly recorded or filed for record, whenever necessary, in order to protect the title of the owner and the interest of the applicant; and,
    - 3) The applicant has complied with the 1970 Uniform Relocation Assistance and Real Property Acquisition Policies Act, if necessary.
  - d. Any inter-governmental or County-private agreements relative to this project.
- \*2. For federally-funded projects, complete the attached forms:
  - \*a. Compliance Report, (EPA form 4700-4); and,

- \*b. Applicant's Certification Regarding Debarment, Suspension, and Other Responsibility Matter (EPA form 5700-49).

**VII. ASSURANCES**

The applicant certifies that \_\_\_\_\_  
(name of legal entity)

1. Has the legal, institutional, managerial and financial capability to ensure adequate construction, and operation and maintenance (including replacement) of the drinking water treatment works, and has analyzed the local share of the costs of the proposed drinking water treatment facilities, including the financial impact on each community and the residents of the service areas; and,
2. Will operate and maintain the publicly-owned treatment works in accordance with the minimum standards as required by the cognizant Federal, State and local agencies for the operation and maintenance of such facilities; and,
3. Will initiate procurement action for A/E and/or construction services for building all significant elements of the project in accordance with the project schedule indicated in this application and approved by DOH upon issuance of the loan agreement; and,
4. Will diligently pursue project completion in accordance with the project schedule submitted herewith; and,
5. Will give the State of Hawaii or its assignees, through any authorized representative, access and the right to examine all records, books, papers, or documents related to the loan; and will retain all construction records for three years following the project initiation of operation; and,
6. Will maintain a financial accounting system in accordance with the generally accepted accounting principles set forth by the Governmental Accounting Standards Board; and,
7. Will not dispose of, or modify the use of or change the real property title terms or other interest in the site and facilities without permission and instructions from DOH; and,

8. Will establish safeguards to prohibit employees from using their positions for purposes that constitute or give the appearance of personal or organizational conflict of interest; and,
9. Has not and will not violate any Federal, State, or local law pertaining to fraud, bribery, graft or collusion; and,
10. Will provide and maintain competent and adequate engineering supervision and inspection at the construction sites to ensure that the completed work conforms with the approved plans and specifications and will furnish progress reports and such other information as DOH may require; and,
11. Will comply with all applicable federal and state requirements, including all other laws, regulations, policies, applicable requirements and conditions relating to assistance for this project.
12. The applicant must certify that it will comply with the following federal "cross-cutting" authorities, including the Single Audit of 1984 (PL 98-502).

\*a. Environmental Authorities

- \*1) Archeological and Historic Preservation Act of 1974 (16 USC 469a-1)
- \*2) Clean Air Act (42 USC 7401)
- \*3) Coastal Barriers Resources Act (16 USC 3501)
- \*4) Coastal Zone Management Act (16 USC 1451)
- \*5) Endangered Species Act (16 USC 1531)
- \*6) Environmental Justice (EO 12898)
- \*7) Farmland Protection Policy Act (7 USC 4201)
- \*8) Fish and Wildlife Coordination Act (16 USC 661)
- \*9) Floodplain Management (EO 11988 (1977), as amended by EO 12148 (1979))
- \*10) National Historic Preservation Act (16 USC 470)

- \*11) Protection of Wetlands (EO 11990 (1977), as amended by EO 12608 (1997))
- \*12) Safe Drinking Water Act (42 USC 300f)
- \*13) Wild and Scenic Rivers Act (16 USC 1271)
- \*14) Essential Fish Habitat Consultation Process under the Magnuson-Stevens Fishery Conservation and Management Act (16 USC 1801)

\*b. Economic Policy Authorities

- \*1) Administration of the Clean Air Act and the Water Pollution Control Act with Respect to Federal Contracts or Loans (EO 11738)
- \*2) Demonstration Cities and Metropolitan Development Act of 1966 (PL 89-754, as amended 42 USC 3331)
- \*3) Procurement Prohibitions (EO 11738, Section 306 of the Clean Air Act)
- \*4) Procurement Prohibitions (Section 508 of the Clean Water Act)

\*c. Social Policy Authorities

- \*1) Age Discrimination Act of 1975 (42 USC 6102)
- \*2) Civil Rights Act of 1964, Title VI (42 USC 2000d)
- \*3) Equal Employment Opportunity (EO 11246, as amended)
- \*4) Minority Business Enterprise Development (EO 12432)
- \*5) National Program for Minority Business Enterprise (EO 11625)
- \*6) National Women's Business Enterprise Policy and National Program for Women's Business Enterprise (EO 12138)
- \*7) Rehabilitation Act of 1973 (29 USC 794)

- \*8) Small Business Administration Reauthorization and Amendment Act of 1998 (PL 100-590, Section 129)
- \*9) Department of Veterans Affairs and Housing and Urban Development, and Agencies Appropriations Act, 1993 (PL 102-389)
- \*10) Disadvantaged Business Enterprise Rule, 2008 (40 CFR Part 33)

\*d. Miscellaneous Authorities

- \*1) Debarment and Suspension (EO 12549)
- \*2) Uniform Relocation and Real Property Acquisition Policies Act (PL 91-646 (1971), as amended 42 USC 4601-4655)
- \*3) Preservation of Open Competition and Government Neutrality Towards Contractor's Labor Relations on Federal and Federally Funded Construction Projects (EO 13202 (2001), as amended by EO 13208 (2001))

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**CERTIFICATION**

To the best of my knowledge: the data in this application is true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the assurances, if the assistance is approved.

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Legal Entity	Name and Title of Authorized Representative
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Signature of Authorized Representative	Date
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